



Investment Controlling

Dashboards for monitoring compliance

Ultrafast compliance checks across all your portfolios providing concise overviews and comprehensive details.

These days compliance is one of the hot topics within the financial industry. More and more regulations like MiFID II and FIDLEG force the market participants to implement appropriate solutions to cope with the increasing requirements. Additionally there are client specific restrictions and performance limits which have to be monitored as well.

Demanding calculations

The calculation of all the figures necessary to perform the appropriate compliance checks requires high-performing solutions. In order to help financial service providers avoid substantial investments in new portfolio management systems axeed offers the investment controlling.

Integrates with the existing systems

Implementing the axeed investment controlling dashboard brings ultrafast overviews for monitoring compliance without the necessity to change your current systems. The dashboards are interactive and flexible and grant access to your compliance information from any device.

One solution for multiple tasks

The investment controlling is based on a highly efficient calculation engine which ensures the ultrafast processing of all data and provides the necessary figures like profit and loss, money- and time-weighted returns, volatilities, and many more. Almost at the blink of an eye (e.g. calculation of performance figures and com-

Target groups



Banken



Family Offices



Asset Manager

Highlights

- axeed calculation engine: ultrafast computation, > 2.500 portfolios in less than 1 seconds
- Commentary functionality
- MWR & TWR calculation
- Volatility calculation
- Benchmark comparison
- Strategy checks
- Restriction checks
- Peer group comparison
- MiFID II loss thresholds
- Global views and detail analysis
- Access management and task sharing
- Basis for reporting (online und printed)

✓ Strategies

Comparing portfolios with assigned strategies and showing possible breaches both in charts and in tables based on the bandwidths defined for each category.

✓ Restrictions

Avoiding a specific industry or avoiding concentration risk or defined levels for sustainable investments or a defined maximum level of volatility or whatever has been agreed on with the client, all kinds of Individual restrictions are processed and can easily be monitored.

✓ Benchmarks

Comparing the portfolios' performance with the performance of the assigned benchmarks, bigger deviations are highlighted in the dashboard based on the individual settings.

✓ MiFID II loss thresholds

Following the regulations the loss thresholds defined for the client portfolios are monitored, all breaches (YTD, MTD, QTD) are clearly indicated and prepared for commenting and informing the clients.

✓ Peer groups

Client portfolios are compared with assigned peer portfolios and any deviation of performance figures is clearly visualized.

parisons for more than 2.500 portfolios in less than 1 second), the results across all selected portfolios are compared with the limits and rules of the compliance settings and the results are ready for reviews and commentaries.

Commentary feature

A unique commentary feature allows the user to enter his comments on any breaches directly in the dashboard. The comments are available to the compliance officer in dedicated dashboard view. All comments are also archived and forwarded to other systems and/or datawarehouses.

Access rights

User authentication and management of user rights control the access to the investment controlling dashboard and allow the sharing of tasks among various types of users.



Addressing current challenges

axeed investment controlling helps banks, wealth and asset managers, family offices and pension funds to cope with the constantly growing challenges of their daily compliance tasks by providing an ultrafast and comprehensive tool which could be implemented fast based on any existing infrastructure.

Why axeed?

- State-of-the-art technology
- Comprehensive knowledge and experience in the financial sector
- Standardised solutions
- Ultra fast computations
- Short project duration
- Low personnel requirements on the customer side
- Continuously growing ecosystem
- Strong community
- Strategic partner for a continuous extension in digitalisation